



**Europäisches
Patentamt**

**European
Patent Office**

**Office européen
des brevets**

10/525348
PCT/EP 03/09112

REC'D 07 OCT 2003

WIPO

PCT

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-
gen stimmen mit der
ursprünglich eingereichten
Fassung der auf dem näch-
sten Blatt bezeichneten
europäischen Patentanmel-
dung überein.

The attached documents
are exact copies of the
European patent application
described on the following
page, as originally filed.

Les documents fixés à
cette attestation sont
conformes à la version
initialement déposée de
la demande de brevet
européen spécifiée à la
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02018847.0

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

R C van Dijk

Best Available Copy



Anmeldung Nr:
Application no.: 02018847.0
Demande no:

Anmeldetag:
Date of filing: 23.08.02
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Roche Vitamins AG

4070 Basel
SUISSE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.
If no title is shown please refer to the description.
Si aucun titre n'est indiqué se référer à la description.)

Novel nutraceutical compositions

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)
revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/
Classification internationale des brevets:

A23L1/00

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SK TR

Roche Vitamins AG, CH-4070 Basle, Switzerland

Case 21372

Novel nutraceutical compositions

The present invention relates to novel nutraceutical compositions comprising biotin as the active ingredient for the treatment or prevention of diabetes mellitus, or other conditions associated with impaired glucose tolerance such as syndrome X and obesity, and at least one additional component selected from pantethine or a metabolite thereof, EGCG, phytanic acid and lipoic acid. In one aspect the present invention relates to compositions comprising biotin in an amount sufficient to administer to a subject a daily dosage of 0.01 mg per kg body weight to about 3 mg per kg body weight, and at least one additional component selected from pantethine or a metabolite thereof, EGCG, phytanic acid and lipoic acid, and to the use of such compositions as a nutritional supplement for the said treatment or prevention, e.g., as an additive to a multi-vitamin preparations comprising vitamins and minerals which are essential for the maintenance of normal metabolic function but are not synthesized in the body.

The compositions of the present invention are particularly intended for the treatment of both type 1 and 2 diabetes, and for the prevention of type 2 diabetes in those individuals with pre-diabetes, or impaired glucose tolerance (IGT), or obesity.

The compositions comprising a combination of active ingredients, i.e., biotin and at least one additional component selected from pantethine or a metabolite thereof, EGCG, phytanic acid and lipoic acid have different mechanism of action on glucose metabolism and insulin sensitivity thus providing additive and/or synergetic effects in the treatment of diabetes.

The term nutraceutical as used herein denotes a usefulness in both the nutritional and pharmaceutical field of application. Thus, the novel nutraceutical compositions can find

- 2 -

use as supplement to food and beverages, and as pharmaceutical formulations for enteral or parenteral application which may be solid formulations such as capsules or tablets, or liquid formulations, such as solutions or suspensions. As will be evident from the foregoing, the term nutraceutical composition also comprises food and beverages containing biotin and at least one additional component selected from pantethine or a metabolite thereof, EGCG, phytanic acid, and lipoic acid, as well as supplement compositions containing the aforesaid active ingredients.

Diabetes is a widespread chronic disease that hitherto has no cure. The incidence and prevalence of diabetes is increasing exponentially and it is among the most common metabolic disorder in developed and developing countries. Diabetes mellitus is a complex disease derived from multiple causative factors and characterized by impaired carbohydrate, protein and fat metabolism associated with a deficiency in insulin secretion and or insulin resistance. This results in elevated fasting and postprandial serum glucose that leads to complications if left untreated. There are two major categories of the diseases, insulin-dependent diabetes mellitus (IDDM; type 1) and non-insulin-dependent diabetes mellitus (NIDDM, type 2).

Type 1 and type 2 diabetes are associated with hyperglycemia, hypercholesterolemia and hyperlipidemia. The insensitivity to insulin and absolute insulin deficiency in type 1 and 2 diabetes leads to a decrease in glucose utilization by the liver, muscle and the adipose tissue and to an increase in the blood glucose levels. Uncontrolled hyperglycemia is associated with increased and premature mortality due to an increased risk for microvascular and macrovascular diseases, including nephropathy, neuropathy, retinopathy, hypertension, stroke, and heart disease. Recent evidence showed that tight glycemic control is a major factor in the prevention of these complications in both type 1 and type 2 diabetes mellitus. Therefore, optimal glycemic control by drugs or therapeutic regimens is an important approach for the treatment of diabetes.

Therapy of type 2 diabetes initially involves dietary and lifestyle changes, when these measures fail to maintain adequate glycemic control the patients are treated with oral hypoglycemic agents and/or exogenous insulin. The current oral pharmacological agents for the treatment of type 2 diabetes mellitus include those that potentiate insulin secretion (sulphonylurea agents), those that improve the action of insulin in the liver (biguanide agents), insulin sensitizing agents (thiazolidinediones) and agents which act to inhibit the uptake of glucose (α -glucosidase inhibitors). However, currently available agents generally fail to maintain adequate glycemic control in the long term due to progressive deterioration in hyperglycaemia, resulting from progressive loss of pancreatic cell function. The proportion of patients able to maintain target glycemic levels decreases markedly overtime necessitating the administration of additional/alternative

- 3 -

pharmacological agents. Furthermore, the drugs may have unwanted side effects and are associated with high primary and secondary failure rates. Finally, the use of hypoglycemic drugs may be effective in controlling blood glucose levels, but may not prevent all the complications of diabetes. Thus, current methods of treatment for all types of diabetes mellitus fail to achieve the ideals of normoglycemia and the prevention of diabetic complications.

Therefore, although the therapies of choice in the treatment of type 1 and type 2 diabetes are based essentially on the administration of insulin and of oral hypoglycemic drugs, there is a need for a safe and effective nutritional supplement with minimal side effects for the treatment and prevention of diabetes. Many patients are interested in alternative therapies which could minimize the side effects associated with high-dose of drugs and yield additive clinical benefits. Patients with diabetes have a special interest in treatment considered as "natural" with mild anti-diabetic effects and without major side effects, which can be used as adjuvant treatment. Type 2 diabetes is a progressive and chronic disease, which usually is not recognized until significant damage has occurred to the pancreatic cells responsible for producing insulin. Therefore, there is also an increasing interest in the development of a dietary supplement that may be used to prevent the development of diabetes in people at risk especially in elderly who are at high risk for developing diabetes. Furthermore, type 2 is a complicated disease resulting from coexisting defects at multiple organ sites: resistance to insulin action in muscle and adipose tissues, defective pancreatic insulin secretion, unrestrained hepatic glucose production associated with lipid abnormalities and endothelial dysfunction. Therefore, given the multiple pathophysiological lesions in type 2 diabetes, combination therapy is an attractive approach to its management.

The use of biotin in daily dosages of about 0.01 mg per kg body weight to about 3 mg per kg body weight, particularly in specific combinations with pantethine or a metabolite thereof, EGCG and/or phytanic acid which individually exert different mechanisms of action are effective in achieving and maintaining target blood glucose levels in diabetic patients.

The combinations of the active ingredients identified above are preferred because of their different actions, to take advantage of additive/synergetic and multiorgan effects. Owing to distinct mechanism of action of the individual active ingredients the combinations not only improve glycemic control, but also result in lower drug dosing in some settings and minimize adverse effects. Because of their distinct mechanism and sites of action, the specific combinations of dietary supplements discussed above also take advantage of additive/synergetic effects to achieve a degree of glucose lowering greater than single agents can accomplish. Thus, although the therapies of choice in the therapeutic treatment of type

- 4 -

1 and type 2 diabetes is based essentially on the administration of insulin and of oral hypoglycemic drugs appropriate nutritional therapy is also of major importance for the successful treatment of diabetics.

5 The function of each of the active ingredients of the nutraceutical compositions of the present invention is described below:

Biotin:

Biotin supplementation enhances hepatic glucose clearance which results in a decrease of circulating glucose concentration and induces decrease in the hepatic PEPCK activity.
10 PEPCK is a rate-limiting cytosolic enzyme that catalyses the first committed step of hepatic gluconeogenesis. Decrease of hepatic PEPCK activity results in a decrease in liver glucose output. In accordance with the invention it has been found that biotin given orally (2-16mg/day) or parentally (0.1mg/day) improved oral glucose tolerance in diabetic KK mice (NIDDM model), streptozotocin-diabetic rats (IDDM) and pre-diabetic Otsuka Long-
15 Evans Tokushima fatty (OLETF) rats (NIDDM). Preliminary human studies showed that after biotin supplementation fasting blood glucose levels decreased in type 1 and type 2 diabetic patients.

Thus, high doses of biotin may improve hyperglycemia in type 1 and type 2 diabetic patients. Biotin decreases hepatic glucose output and benefits glucose-stimulated insulin
20 secretion. A combination of biotin with a product improving peripheral insulin sensitivity is, therefore, valuable in diabetes management. Such products are, particularly, phytanic acid and lipoic acid.

EGCG:

Epigallocatechin gallate (EGCG) is the major catechin found in green tea. In rats green tea catechins dose-dependently suppressed the increase in glucose and insulin levels in plasma
25 after a starch or a sucrose rich meal. Combinations of biotin and EGCG according to the invention are especially useful for patients who have impaired glucose tolerance, older patients who develop an increase in postprandial glucose due to aging, and patients with undiagnosed diabetes.

Pantethine:

In human studies oral administration of pantethine resulted in a progressive decrease in total cholesterol, triglycerides, low density lipoprotein (LDL) cholesterol and an increase in high density lipoprotein (HDL) cholesterol. Thus, resulting in a more favorable Chol/HDL ratio which reduces cardiovascular risk. Diabetes mellitus is associated with a 3- to 4-fold increase in risk of coronary artery disease. Type 2 diabetes mellitus adversely affects the plasma lipid profile, increasing levels of atherogenic lipids such as low density lipoproteins (LDL) and very low density lipoproteins (VLDL), but decreasing levels of high density lipoprotein (HDL), an antiatherogenic lipid. Atherosclerotic manifestations are not only common in individuals with diabetes but also result in significant long-term complications. Therefore, the oral supplementation with pantethine helps diabetes patients to normalize their lipid values reducing the risk of coronary heart disease and of thrombotic events. Instead of or in addition to panthethine, metabolites of pantethine such as cysteamine and pantothenic acid may find use in accordance with the invention.

Lipoic acid

Lipoic acid (1,2-dithiolane-3-pentaenoic acid) plays an essential role in mitochondrial-specific pathways that generate energy from glucose and may potentially influence the rate of glucose oxidation. Lipoic acid stimulates glucose transport in both muscle and adipose cells in culture. Moreover, administration of lipoic acid also raised basal and insulin-stimulated glucose uptake by skeletal muscles of glucose intolerant and non-insulin dependent diabetic animals. Furthermore, lipoic acid improves glucose disposal in patients with type 2 and may be incorporated in a nutraceutical composition of the present invention in order to prevent and/or treat the diabetic related complications and as agent with insulin sensitizing activity.

25

Phytanic acid:

Phytanic acid (3, 7, 11, 15- tetramethylhexadecanoic acid) at concentrations ranging from about 10 to about 100 μ M enhances uptake of glucose in rat primary hepatocytes. Compared to the specific PPAR- γ agonist such as ciglitazone, phytanic acid exerts only minor effects on the differentiation of pre-adipocyte cells into mature adipocytes. Therefore, intake of phytanic acid helps to improve insulin sensitivity and may act as a preventative measure against type 2 diabetes and Syndrome X through activation of PPARs and RXR.

A multi-vitamin and mineral supplement may be added to the nutraceutical compositions of the present invention to obtain an adequate amount of an essential nutrient missing in some diets. The multi-vitamin and mineral supplement may also be useful for disease prevention and protection against nutritional losses and deficiencies due to lifestyle patterns and common inadequate dietary patterns sometimes observed in diabetes. Moreover, oxidant stress has been implicated in the development of insulin resistance. Reactive oxygen species may impair insulin stimulated glucose uptake by disturbing the insulin receptor signaling cascade. The control of oxidant stress with antioxidants such as α -tocopherol (vitamin E) ascorbic acid (vitamin C) may be of value in the treatment of diabetes. Therefore, the intake of multi-vitamin supplement may be added to the above mentioned active substances to maintain a good balanced nutrition.

The nutraceutical composition of the present invention contains biotin in an amount sufficient to administer to a subject a dosage from about 0.01 mg to about 3 mg per kg body weight per day, preferably from about 0.1 mg to about 0.5 mg per kg body weight per day. Thus, if the nutraceutical composition is a food or beverage the amount of biotin contained therein is suitably in the range from about 0.03 mg per serving to about 50 mg per serving. If the nutraceutical composition is a pharmaceutical formulation such formulation may contain from about 0.35 mg to about 200 mg per solid dosage unit, e.g., per capsule or tablet, or a corresponding dosage in a liquid formulation, or from about 0.35 mg per daily dose to about 200 mg per daily dose.

In a preferred aspect of the invention, the nutraceutical composition of the present invention further contains pantethine. The amount of pantethine in the composition may be such to provide a daily dosage from about 1 mg per kg body weight to about 50 mg per kg body weight of the subject to which it is to be administered. A food or beverage suitably contains about 20 mg per serving to about 800 mg per serving of pantethine. If the nutraceutical composition is a pharmaceutical formulation such formulation may contain pantethine in an amount from about 20 mg to about 1000 mg per dosage unit, e.g., per capsule or tablet, or from about 70 mg per daily dose to about 3500 mg per daily dose of a liquid formulation.

If EGCG is present in the composition according to the invention its amount may be such to provide a daily dosage from about 0.3 mg per kg body weight to about 30 mg per kg body weight of the subject to which it is to be administered. A food or beverage suitably contains about 5 mg per serving to about 500 mg per serving of EGCG. If the nutraceutical composition is a pharmaceutical formulation such formulation may contain EGCG in an amount from about 10 mg to about 500 mg per dosage unit, e.g., per capsule or tablet, or from about 20 mg per daily dose to about 2000 mg per daily dose of a liquid formulation.

- 7 -

If phytanic acid is present in the nutraceutical composition according to the invention its amount may be such to provide a daily dosage from about 1 mg per kg body weight to about 100 mg per kg body weight of the subject to which it is to be administered. A food or beverage suitably contains about 20 mg per serving to about 2000 mg per serving of
5 phytanic acid. If the nutraceutical composition is a pharmaceutical formulation such formulation may contain phytanic acid in an amount from about 30 mg to about 500 mg per dosage unit, e.g., per capsule or tablet, or from about 70 mg per daily dose to about 7000 mg per daily dose of a liquid formulation. Phytanic acid may also be used in the form of a biologically equivalent derivative thereof, such as an ester, e.g. the methyl or ethyl
10 ester.

If lipoic acid is present in the nutraceutical composition according to the invention its amount may be such to provide a daily dosage from about 0.3 mg per kg body weight to about 30 mg per kg body weight of the subject to which it is to be administered. A food or beverage suitably contains about 5 mg per serving to about 500 mg per serving of lipoic
15 acid. If the nutraceutical composition is a pharmaceutical formulation such formulation may contain lipoic acid in an amount from about 5 mg to about 800 mg per dosage unit, e.g., per capsule or tablet, or from about 5 mg per daily dose to about 2000 mg per daily dose of a liquid formulation.

The nutraceutical compositions of the present invention preferably comprise
20 combinations of

Biotin and pantethine. Also preferred are compositions comprising
Biotin and phytanic acid;
Biotin and EGCG;
Biotin and lipoic acid;
25 Biotin, phytanic acid and EGCG;
Biotin, phytanic acid and pantethine;
Biotin, pantethine and EGCG; and
Biotin, phytanic acid, pantethine and EGCG.

30 Dosage ranges (for a 70 kg person)

Biotin: 0.7 to 210 mg /day

EGCG: 20-2100 mg/day

Pantethine: 70-3500 mg/day

Phytanic acid: 70-7000 mg/day

Lipoic acid: 20-2100 mg/day

The following Examples illustrate the invention further.

- 5 A. Pharmaceutical compositions may be prepared by conventional formulation procedures using the ingredients specified below:

Example 1

Soft gelatin capsule

- 10 Soft gelatin capsules are prepared by conventional procedures using ingredients specified below:

Active ingredients: Biotin 30 mg Pantethine 100 mg

Other ingredients: glycerol, water, gelatine, vegetable oil

Example 2

Hard gelatin capsule

- 15 Hard gelatin capsules are prepared by conventional procedures using ingredients specified below:

Active ingredients: Biotin 30 mg Pantethine 100 mg

Other ingredients:

Fillers: lactose or cellulose or cellulose derivatives q.s

- 20 Lubricant: magnesium stearate if necessary (0.5%)

Example 3

Tablet

Tablets are prepared by conventional procedures using ingredients specified below:

Active ingredients: Biotin 20 mg, pantethine 50 mg

- 25 Other ingredients: microcrystalline cellulose, silicone dioxide (SiO₂), magnesium stearate, croscarmellose sodium

B. Food items may be prepared by conventional procedures using ingredients specified below:

- 9 -

Example 4

Soft Drink with 30% juice

Active ingredients:

- Biotin and, optionally, one or more additional components selected from pantethine,
 5 EGCG, phytanic acid and lipoic acid are incorporated in this food item

Biotin: 0.03-50 mg/ per serving

Pantethine: 20-800 mg/ per serving

EGCG: 5-500 mg/ per serving

Phytanic acid: 20-2000 mg/ per serving

- 10 Lipoic acid: 5-500 mg/ per serving

Typical serving: 240 ml

I. A Soft Drink Compound is prepared from the following ingredients :

Juice concentrates and water soluble flavours

15		[g]
	Orange concentrate	
	60.3 °Brix, 5.15% acidity	657.99
	Lemon concentrate	
	43.5 °Brix, 32.7% acidity	95.96
20	Orange flavour, water soluble	13.43
	Apricot flavour, water soluble	6.71
	Water	26.46

- 10 -

1.2 Color

β -Carotene 10% CWS	0.89
Water	67.65

5 1.3 Acid and Antioxidant

Ascorbic acid	4.11
Citric acid anhydrous	0.69
Water	43.18

10 1.4 Stabilizers

Pectin	0.20
Sodium benzoate	2.74
Water	65.60

15 1.5 Oil soluble flavours

Orange flavour, oil soluble	0.34
Orange oil distilled	0.34

1.6 Active ingredients

- 20 Active ingredients (this means the active ingredient mentioned above: biotin and one or more of the following EGCG, pantethine, lipoic acid and/or phytanic acid) in the concentrations mentioned above

- Fruit juice concentrates and water soluble flavours are mixed without incorporation of air. The color is dissolved in deionized water. Ascorbic acid and citric acid is dissolved in
 25 water. Sodium benzoate is dissolved in water. The pectin is added unter stirring and dissolved while boiling. The solution is cooled down. Orange oil and oil soluble flavours are premixed. The active ingredients as mentioned under 1.6 are dry mixed and then stirred preferably into the fruit juice concentrate mixture (1.1).

- 11 -

In order to prepare the soft drink compound all parts 3.1.1 to 3.1.6 are mixed together before homogenising using a Turrax and then a high-pressure homogenizer ($p_1 = 200$ bar, $p_2 = 50$ bar).

5 II. A Bottling Syrup is prepared from the following ingredients:

	[g]
Softdrink compound	74.50
Water	50.00
Sugar syrup 60° Brix	150.00

10

The ingredients of the bottling syrup are mixed together. The bottling syrup is diluted with water to 1 l of ready to drink beverage.

Variations :

15 Instead of using sodium benzoate, the beverage may be pasteurised. The beverage may also be carbonised.

Example 5

5 Cereal Bread

Active ingredients:

20 Biotin and one or more additional components selected from pantethine, EGCG, phytanic acid and lipoic acid are incorporated in this food items

Biotin: 0.03-50 mg/ per serving

Pantethine: 20-800 mg/ per serving

EGCG: 5-500 mg/ per serving

Phytanic acid: 20-2000 mg/ per serving

25 Lipoic acid: 5-500 mg/ per serving

- 12 -

Typical serving: 50 g

	[%]
5 cereal flour	56.8
Water	39.8
5 Yeast	2.3
Salt	1.1

- The yeast is dissolved in a part of the water. All ingredients are mixed together to form a dough. Salt is added at the end of the kneading time. After fermentation, the dough is reworked and divided before a loaf is formed. Before baking, the surface of the loaf is
- 10 brushed with water and sprinkled with flour.

Parameters:Kneading:

- | | | |
|----|------------------------|----------------------------|
| | Spiral kneading system | 4 min 1 st gear |
| | | 5 min 2 nd gear |
| 15 | Dough proofing: | 60 min |
| | Dough temperature: | 22 - 24 °C |
| | Proofing time: | 30 min |

Baking:

- | | | |
|----|---------------------|-----------------|
| 20 | Oven: | Dutch type oven |
| | Baking temperature: | 250/220 °C |
| | Baking time: | 50 - 60 min |

Example 6

- 25 Cookies Type Milano

Active ingredients:

Biotin and one or more additional components selected from pantethine, EGCG, phytanic acid and lipoic acid are incorporated in this food items

Biotin: 0.03-50 mg/ per serving

- 30 Pantethine: 20-800 mg/ per serving

- 13 -

EGCG: 5-500 mg/ per serving

Phytanic acid: 20-2000 mg/ per serving

Lipoic acid: 5-500 mg/ per serving

5 Typical serving: 30 g

	[g]
Wheat Flour, type 550	41.0
Sugar	20.5
Fat/Butter	20.5
10 Whole egg (liquid)	18.0
Lemon Flavour	q.s.
Baking agent	q.s.

All ingredients are added slowly under mixing to form a sweet short pastry.

- 15 Afterwards, the pastry is kept cool (4°C) for at least 2 hours before flattening the pastry to a thickness of approx. 5 mm. Pieces are cut out and brushed with egg yolk on the surface before baking.

Baking:

- | | |
|------------------------|----------|
| Oven: | fan oven |
| 20 Baking temperature: | 180 °C |
| Baking time: | 15 min |

Example 7

Toast

Active ingredients:

- 25 Biotin and one or more additional components selected from pantothenic acid, EGCG, phytanic acid and lipoic acid are incorporated in this food items

Biotin: 0.03-50 mg/ per serving

Pantothenic acid: 20-800 mg/ per serving

EGCG: 5-500 mg/ per serving

- 14 -

Phytanic acid: 20-2000 mg/ per serving

Lipoic acid: 5-500 mg/ per serving

Typical serving: 100 g

5		[%]
	Wheat Flour, type 550	55.4
	Water	33.2
	Yeast 2.8	
	Salt 1.1	
10	Fat/Butter	5.5
	Malt 0.6	
	Emulsifier baking agent	1.4

- 15 The yeast is dissolved in a part of the water. All ingredients are mixed together to form a dough. Salt is added at the end of the kneading time. Afterwards, the dough is reworked, divided and placed in a baking tin for fermentation. After baking, the loaf is unmoulded directly.

Parameters:Kneading:

- 20 Spiral kneading system 5 - 6 min 1st gear
 3 - 4 min 2nd gear

- Dough proofing: none
 Dough temperature: 22 - 24 °C
 25 Proofing time: 40 min

Baking:

- Oven: Dutch type oven
 Baking temperature: 220 °C
 Baking time: 35 - 40 min

- 15 -

Example 8

Yoghurt - set type

3.5% fat

Active ingredients:

- 5 Biotin and one or more additional components selected from pantethine, EGCG, phytanic acid and lipoic acid are incorporated in this food items

Biotin: 0.03-50 mg/ per serving

Pantethine: 20-800 mg/ per serving

EGCG: 5-500 mg/ per serving

- 10 Phytanic acid: 20-2000 mg/ per serving

Lipoic acid: 5-500 mg/ per serving

Typical serving: 225 g

	[%]
15 Full fat milk (3.8% fat)	90.5
Skimmed milk powder	2.0
Sugar	5.0
Culture	2.5

- 20 The milk is heated to 35 °C before addition of milk powder, stabiliser, sugar and active ingredients. This mixture is heated to 65 °C to dissolve all ingredients. Then the mixture is homogenized in a high-pressure homogenizer ($p_1 = 150$ bar, $p_2 = 50$ bar) at 65 °C. This emulsion is then pasteurised at 80 °C for 20 minutes. After cooling to 45 °C natural yoghurt/culture is added and mixed. Then this mixture is filled into cups and fermented at
- 25 45 °C for 3-4 hours until a pH of 4.3 is reached and then stored at 4 °C.

- 16 -

Example 9

Yoghurt - stirred type

3.5% fat

Biotin and, optionally, one or more additional components selected from pantethine,

- 5 EGCG, phytanic acid and lipoic acid are incorporated in this food items :

Biotin: 0.03-50 mg/ per serving

Pantethine: 20-800 mg/ per serving

EGCG: 5-500 mg/ per serving

Phytanic acid: 20-2000 mg/ per serving

- 10 Lipoic acid: 5-500 mg/ per serving

Typical serving: 225 g

	[%]
Full fat milk (3.8% fat)	90.2
15 Skimmed milk powder	2.0
Stabiliser	0.3
Sugar	5.0
Culture	2.5

- 20 The milk is heated to 35 °C before addition of milk powder, stabiliser, sugar and active ingredients. This mixture is heated to 65 °C to dissolve all ingredients before homogenisation in a high-pressure homogenizer ($p_1 = 150$ bar, $p_2 = 50$ bar) at 65 °C. This emulsion is then pasteurised at 80 °C for 20 minutes. After cooling to 45 °C natural
- 25 yoghurt/culture is added and mixed, followed by fermentation at 45 °C for 3-4 hours until a pH of 4.3 is reached. After cooling and stirring vigorously, the yoghurt is filled in cups and stored at 4 °C.

- 17 -

Example 10

Ice cream

8% fat

Active ingredients:

- 5 Biotin and one or more additional components selected from pantethine, EGCG, phytanic acid and lipoic acid are incorporated in this food items

Biotin: 0.03-50 mg/ per serving

Pantethine: 20-800 mg/ per serving

EGCG: 5-500 mg/ per serving

- 10 Phytanic acid: 20-2000 mg/ per serving

Lipoic acid: 5-500 mg/ per serving

Typical serving: 85 g

	[g]
15 Milk (3.7% fat)	600.00
Cream (35% fat)	166.00
Skim milk powder	49.10
Sugar	109.00
Glucose syrup 80%	70.00
20 Ice cream stabiliser	5.00
Flavor	q.s.
Color	q.s.

- Sugar, skim milk powder and stabiliser are added to the milk and cream, mixed and heated to 45 °C. Then the colour as stock solution and the glucose syrup is added as well as the
- 25 active ingredients. The mix is heated up and pasteurized (20 min, 80 °C). Then a homogenization step takes place. Afterwards the mix is cooled down under constant stirring and the flavour is added at 5°C. The mix matured at 5 °C during at least 4 h and then passed through an the ice cream machine (overrun ca. 100%). The ice cream is filled into cups and stored at -20 to -30 °C.

Example 11**Wine gums****Active ingredients:**

Biotin and one or more additional components selected from pantethine, EGCG, phytanic acid and lipoic acid are incorporated in this food items

Biotin: 0.03-50 mg/ per serving

Pantethine: 20-800 mg/ per serving

EGCG: 5-500 mg/ per serving

Phytanic acid: 20-2000 mg/ per serving

10 Lipoic acid: 5-500 mg/ per serving

Typical serving: 30 g

	[g]
15 Gelatine 200 Bloom	80.0
Water I	125.0
Sugar crys.	290.0
Water II	120.0
Glucose-syrup DE 38	390.0
20 Citric acid	10.0
Flavour	2.0
Colour	q.s.
Yield ca	1000.0

25 Disperse gelatine in water I, stir and dissolve by heating over a stream bath or using a microwave. Mix sugar with water II and bring to boiling until a clear solution is obtained. Remove from heat source. Mix with glucose syrup while dissolved sugar solution is still hot. Slowly add the gelatine solution. Let rest until foam on surface can be removed and

- 19 -

60-65°C is reached. Add flavour, citric acid and the colour solution as well as active ingredients under stirring. Deposit into moulds printed into starch trays and let sit for at least 48 hours at RT. Remove starch powder and polish with oil or wax. Dry at RT and package into airtight pouches

- 20 -

What is claimed is :

1. A composition comprising biotin in an amount sufficient to administer to a subject a daily dosage of 0.01 mg per kg body weight to about 3 mg per kg body weight and at least one additional component selected from pantethine or a metabolite thereof, EGCG,
5 phytanic acid and lipoic acid.
2. A composition as in claim 1 wherein pantethine is present.
3. A composition as in claim 2 containing pantethine in an amount sufficient to administer to a subject a daily dosage of 1 mg per kg body weight to about 50 mg per kg body weight.
- 10 4. A composition as in any one of claims 1-3 wherein EGCG is present.
5. A composition as in claim 4 containing EGCG in an amount sufficient to administer to a subject a daily dosage of 0.3 mg per kg body weight to about 30 mg per kg body weight.
6. A composition as in any one of claims 1-5 wherein phytanic acid is present.
7. A composition as in claim 6 containing phytanic acid in an amount sufficient to
15 administer to a subject a daily dosage of 1 mg per kg body weight to about 100 mg per kg body weight.
8. A composition as in any one of claims 1-7 wherein lipoic acid is present.
9. A composition as in claim 8 wherein lipoic acid is present in an amount sufficient to administer to a subject a daily dosage of 0.3 mg per kg body weight to about 30 mg per kg
20 body weight.
10. A composition as in any one of claims 1-9 which is in dosage unit form.
11. A composition as in claim 10 wherein the dosage unit form is a solid dosage unit form.
12. A composition as in claim 11 wherein the dosage unit form contains about 0.35 mg to about 200 mg of biotin.
- 25 13. A composition as in claim 10 wherein the dosage unit form is a liquid dosage unit form.
14. A composition as in claim 13 wherein the dosage unit form contains about 0.35 mg to about 200 mg of biotin per ml.

- 21 -

15. A composition as in any one of claims 1-9 which is a food or beverage or a supplement composition for a food or beverage.
16. A food or beverage comprising about 0.03 mg to about 50 mg of biotin per serving and at least one additional component selected from pantethine or a metabolite thereof,
5 EGCG, phytanic acid and lipoic acid.
17. The use of biotin and at least one additional component selected from pantethine or a metabolite thereof, EGCG, phytanic acid and lipoic acid in the manufacture of a nutraceutical composition, said biotin being used in an amount sufficient to provide a daily dosage of 0.01 mg per kg body weight to about 3 mg per kg body weight.
- 10 18. The use as in claim 17 wherein the nutraceutical composition is a food or beverage, or a supplement composition for food or beverage.
19. The use as in claim 18 wherein the nutraceutical composition is intended for the treatment of both type 1 and 2 diabetes, and for the prevention of type 2 diabetes in those individuals with pre-diabetes, or impaired glucose tolerance (IGT) or obesity.
- 15 20. The use as in claim 17 wherein the nutraceutical composition is a pharmaceutical composition for the treatment of both type 1 and 2 diabetes, and for the prevention of type 2 diabetes in those individuals with pre-diabetes, or impaired glucose tolerance (IGT) or obesity.
- 20 21. A method for the treatment of both type 1 and 2 diabetes, and for the prevention of type 2 diabetes in those individuals with pre-diabetes, or impaired glucose tolerance (IGT) or obesity which comprises administering to a subject in need of such treatment biotin in a daily dosage of 0.01 mg per kg body weight to about 3 mg per kg body weight together with at least one additional component selected from pantethine or a metabolite thereof, EGCG, phytanic acid and lipoic acid.

25

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.